

## Appendix 1

The main changes made to the Corporate Risk Register are listed below, along with any points of note:

- i. DCC001: *'The risk of a serious safeguarding error where the council has responsibility, resulting in serious injury or death'*. A number of new actions are planned which are in the action plan created following a review by the Internal Audit service
- ii. DCC006: *'The risk that the economic and financial environment worsens beyond current expectations, leading to additional demand on services and reduced income'*. This risk continues to be closely monitored and a number of actions are in place this year to help to manage the extra challenge of a delayed notification of our settlement
- iii. DCC007: *'The risk that critical or confidential information is lost or disclosed'*. A significant number of risk reduction actions have been undertaken: the information security policy is in place and the e-learning package is in place and has been rolled out. It is proposed, therefore, that this risk can now be managed at service level.
- iv. DCC011: *'The risk of an ineffective response to a severe weather, contamination, or public health event'*. The major incident handbook is expected to be finalised by the end of this year. Once this has been done it is hoped that the residual risk likelihood can be downgraded to 'rare', but should remain at 'possible' for now
- v. No change to DCC012: *'The risk of a significantly negative report(s) from external regulators'*.
- vi. DCC013 has been reworded as follows *'The risk of significant liabilities resulting from alternative models of service delivery'*. This wording encompasses the variety of service delivery models that are currently in place or being explored. The framework for governance arrangements is currently being implemented and until it is fully embedded the residual risk score should be maintained.
- vii. No change to DCC014: *'The risk of a health & safety incident resulting in serious injury or the loss of life'*.
- viii. DCC016: *'The risk that the impact of welfare reforms is more significant than anticipated by the council'*. While this remains an area of uncertainty and, therefore, a risk for the Council, we have some confidence, based on monitoring impacts in pilot areas, that we are well prepared. The establishment of the Tackling Poverty Group will give us greater understanding and assurance in this area.

- ix. DCC017: *'The risk that the ICT framework does not meet the organisation's needs'*. Several new control measures are in place, but Phase 2 of the ICT strategy is to be completed. Successful delivery of the strategy will increase our confidence still further.
- x. No change to DCC018: *'The risk that programme and project benefits are not fully realised'*.
- xi. No change to DCC019: *'The risk that the availability of the Welsh Government's match-funding contribution towards Band A of the 21st Century Schools programme is not in line with the timescales for Denbighshire's work programme'*.
- xii. DCC021: *'The risk that effective partnerships and interfaces between BCU Health Board and Denbighshire County Council (DCC) do not develop, leading to significant misalignment between the strategic and operational direction of BCUHB and DCC'*. We remain very concerned about developments in this area despite a number of control measures in place and feel that the residual risk score should be increased to 'almost certain' with a 'very high' impact.
- xiii. DCC027: *'The risk that the decisions that are necessary to enable the delivery of a balanced budget are not taken or implemented quickly enough'*. This continues to be a challenge and despite the ongoing progress of the Freedom & Flexibilities program, with Member involvement in its operation, a more sensitive political environment suggests that the residual risk score remains as 'possible' with a 'very high' impact.
- xiv. No change to DCC028: *'The risk that the services that we scale back have a greater positive or negative impact than we anticipated'*. We believe that it is still too early to revise the residual risk.
- xv. No change to DCC029: *'Risk of successful challenge that we are illegally depriving people of their liberty'*. We continue to keep a watching brief on legal challenges to the case law.
- xvi. New Risk: DCC030: *'The risk that appropriate capacity and skills to sustain service and corporate performance is not available'*. This concerns the succession planning work that the Chief Executive is undertaking, and the associated risk of being able to effectively respond to policy and legislation. Control measures are in place and actions are being planned to manage the risk and the proposed residual risk is 'possible' with a 'medium' impact.
- xvii. Emerging Risk: There is a new risk concerning Protection of Vulnerable Adults (POVA) arrangements which has been raised by CSSIW. The risk is currently being scoped through consultation and advice and further details will be made available to Cabinet Briefing.